

KNAPSACK PROBLEM

- The knapsack problem is given n items of known weights w_1, \dots, w_n and values v_1, \dots, v_n and a knapsack of capacity W , find the most valuable subset of the items that fit into the knapsack.
- This problem can also be solved using Dynamic Programming design technique.
- A recurrence relation has to be derived that expresses a solution to an instance of the knapsack problem in terms of solutions to its smaller subinstances.
- Divide all the subsets of the first i items that fit the knapsack of capacity j into two categories: those that do not include the i th item and those that do.
 - ✓ Among the subsets that do not include the i th item, the value of an optimal subset is, by definition, $V[i - 1, j]$.
 - ✓ Among the subsets that do include the i th item (hence, $j - w_i \geq 0$), an optimal subset is made up of this item and an optimal subset of the first $i - 1$ items that fit into the knapsack of capacity $j - w_i$. The value of such an optimal subset is $v_i + V[i - 1, j - w_i]$.
- Thus, the value of an optimal solution among all feasible subsets of the first i items is the maximum of these two values.
- This can be expressed as

$$V[i, j] = \begin{cases} \max\{V[i - 1, j], v_i + V[i - 1, j - w_i]\} & \text{if } j - w_i \geq 0 \\ V[i - 1, j] & \text{if } j - w_i < 0. \end{cases}$$

with the initial condition

$$V[0, j] = 0 \text{ for } j \geq 0 \text{ and } V[i, 0] = 0 \text{ for } i \geq 0.$$

EXAMPLE:

Let us consider the given knapsack instance, with the sack capacity of $W = 5$

Item	Weight	Value
1	2	12
2	1	10
3	3	20
4	2	15

Solution

i		0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_4 = 2, v_4 = 15$	4	0	10	15	25	30	37

Fill first row and column entries by '0' based on the initial condition.

$$\begin{aligned}
 V[1,1] &= V[0,1] = 0 && \{\text{here, } j - w_i < 0\} \\
 V[1,2] &= \max\{V[0,2], v_1 + V[0,0]\} = \max\{0, 12 + 0\} = 12 && \{\text{here, } j - w_i \geq 0\} \\
 V[1,3] &= \max\{V[0,3], v_1 + V[0,1]\} = \max\{0, 12 + 0\} = 12 && \{\text{here, } j - w_i \geq 0\} \\
 V[1,4] &= \max\{V[0,4], v_1 + V[0,2]\} = \max\{0, 12 + 0\} = 12 && \{\text{here, } j - w_i \geq 0\} \\
 V[1,5] &= \max\{V[0,5], v_1 + V[0,3]\} = \max\{0, 12 + 0\} = 12 && \{\text{here, } j - w_i \geq 0\} \\
 V[2,1] &= \max\{V[1,1], v_2 + V[1,0]\} = \max\{0, 10 + 0\} = 10 && \{\text{here, } j - w_i \geq 0\} \\
 V[2,2] &= \max\{V[1,2], v_2 + V[1,1]\} = \max\{12, 10 + 0\} = 12 && \{\text{here, } j - w_i \geq 0\} \\
 V[2,3] &= \max\{V[1,3], v_2 + V[1,2]\} = \max\{12, 10 + 12\} = 22 && \{\text{here, } j - w_i \geq 0\} \\
 V[2,4] &= \max\{V[1,4], v_2 + V[1,3]\} = \max\{12, 10 + 12\} = 22 && \{\text{here, } j - w_i \geq 0\} \\
 V[2,5] &= \max\{V[1,5], v_2 + V[1,4]\} = \max\{12, 10 + 12\} = 22 && \{\text{here, } j - w_i \geq 0\} \\
 V[3,1] &= V[2,1] = 10 && \{\text{here, } j - w_i < 0\} \\
 V[3,2] &= V[2,2] = 12 && \{\text{here, } j - w_i < 0\} \\
 V[3,3] &= \max\{V[2,3], v_3 + V[2,0]\} = \max\{22, 20 + 0\} = 22 && \{\text{here, } j - w_i \geq 0\} \\
 V[3,4] &= \max\{V[2,4], v_3 + V[2,1]\} = \max\{22, 20 + 10\} = 30 && \{\text{here, } j - w_i \geq 0\} \\
 V[3,5] &= \max\{V[2,5], v_3 + V[2,2]\} = \max\{22, 20 + 12\} = 32 && \{\text{here, } j - w_i \geq 0\} \\
 V[4,1] &= V[3,1] = 10 && \{\text{here, } j - w_i < 0\} \\
 V[4,2] &= \max\{V[3,2], v_4 + V[3,0]\} = \max\{12, 15 + 0\} = 15 && \{\text{here, } j - w_i \geq 0\} \\
 V[4,3] &= \max\{V[3,3], v_4 + V[3,1]\} = \max\{22, 15 + 10\} = 25 && \{\text{here, } j - w_i \geq 0\} \\
 V[4,4] &= \max\{V[3,4], v_4 + V[3,2]\} = \max\{30, 15 + 12\} = 30 && \{\text{here, } j - w_i \geq 0\} \\
 V[4,5] &= \max\{V[3,5], v_4 + V[3,3]\} = \max\{32, 15 + 22\} = \mathbf{37} && \{\text{here, } j - w_i \geq 0\}
 \end{aligned}$$

The maximum value of the sack is **37**

To find Solution Set

The composition of the optimal subset is obtained by tracing back the computations of the entry in the table.

Since $V[4, 5] \neq V[3, 5]$, item 4 was included in the optimal solution

The remaining 3 units of the knapsack capacity is represented by element $V[3, 3]$. Since $V[3,3] = V[2, 3]$, item 3 is not a part of the optimal subset.

Since $V[2, 3] \neq V[1, 3]$, item 2 is a part of an optimal selection.

Similarly, since $V[1, 2] \neq V[0, 2]$, item 1 is the final part of the optimal solution.

Therefore, solution set **$\{I_1, I_2, I_4\}$** .